

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF CALIFORNIA

ESTATE OF FRANCISCO MANUEL
CESENA, et al,

Case No.: 15-CV-2241-WVG

Plaintiff,
v.
UNITED STATES OF AMERICA, et al.,
Defendant.

**AMENDED ORDER GRANTING EX
PARTE PETITION TO APPROVE
SETTLEMENT INVOLVING A
MINOR**

[ECF No. 69]

Presently before the Court is Plaintiffs' *ex parte* Petition for approval of a settlement involving a minor, F.C. (or "Minor Plaintiff"). Having considered the moving papers, all documents lodged directly with the Court, and the information provided at the hearing held on December 13, 2017, the Court finds the settlement is fair, reasonable, and in the best interest of the minor. Accordingly, Plaintiffs' unopposed Petition is **GRANTED**.

///

111

111

111

111

111

I. BACKGROUND

A. Factual Allegations¹

3 On December 24, 2014, decedent Francisco Manuel Cesena crossed into the United
4 States of America from Mexico at the San Ysidro Port of Entry. (Pet., ECF No. 69 at 3:14-
5 16.) The decedent had an outstanding warrant for his arrest and was detained at the port of
6 entry. (*Id.* at 3:17-19.) Suddenly, and without provocation, the decedent arose from his
7 seat, leapt over a counter and attacked border patrol agents. (*Id.* at 3:20-24.) A lengthy
8 struggle ensued, and border patrol agents used a Taser weapon on the decedent. (SAC, ECF
9 No. 53 at ¶ 120.) The decedent was restrained, face-down. (Pet. at 4:3-5.) The decedent
10 stopped breathing and border patrol agents administered CPR while awaiting paramedics.
11 Cesena died on December 24, 2014.

12 On February 23, 2017, the Estate of Francisco Manuel Cesena, Manuel Salvador
13 Cesena, Trinidad Cesena, Jonathan Jose Trinidad Cesena, Lucitania Juliana Cesena, and
14 the Minor Plaintiff filed a Second Amended Complaint, pursuing *Bivens* claims for
15 wrongful death, failure to properly supervise and intervene, excessive force, failure to train,
16 and deliberate indifference to serious medical needs.² (See SAC, ECF No. 53.) Plaintiffs
17 also alleged wrongful death, assault and battery, intentional infliction of emotional distress,
18 and negligence pursuant to the Federal Tort Claims Act. (See *id.*)

B. The Proposed Settlement and Distribution

20 The parties came to a global settlement of \$350,000, which will be divided equally
21 amongst the five plaintiffs.³ (Pet. at 4:21-24.) Attorney's fees requested are at the statutory
22 maximum of 25%, amounting to \$87,500. *See* 28 U.S.C. § 2687. Attorney's fees attributed
23 to Minor Plaintiff are \$17,500. Plaintiffs assert the cost of litigation is \$35,968.28. (Pet. at
24 5:12-13.) Costs of litigation attributed to Minor Plaintiff are \$7,193.60. Thus, the lump sum

¹ The factual allegations herein are for background purposes only.

² The case was originally filed on October 7, 2015. (See Compl., ECF No. 1.)

³ The Estate of Francisco Manuel Cesena will dismiss all claims without taking from the settlement. (Pet. at 4:21-24.)

1 to be paid to Minor Plaintiff is \$45,306.40 after fees and costs.

2 **II. LEGAL STANDARD**

3 District courts have a special duty, derived from Federal Rule of Civil Procedure
4 17(c), “to safeguard the interests of litigants who are minors.” *Robidoux v. Rosengren*, 638
5 F.3d 1177, 1181 (9th Cir. 2011). In the context of proposed settlements in suits involving
6 minor plaintiffs, this special duty requires a district court to “conduct its own inquiry to
7 determine whether the settlement serves the best interests of the minor.” *Dacany v.*
8 *Mendoza*, 573 F.2d 1075, 1080 (9th Cir. 1978); *see also Salmeron v. United States*, 724
9 F.2d 1357, 1363 (9th Cir. 1983) (holding that “a court must independently investigate and
10 evaluate any compromise or settlement of a minor’s claims to assure itself that the minor’s
11 interests are protected, even if the settlement has been recommended or negotiated by the
12 minor’s parent or guardian ad litem”).

13 The Ninth Circuit has also made clear that, in cases involving the settlement of
14 federal claims, district courts should “limit the scope of their review to the question whether
15 the net amount distributed to each minor plaintiff in the settlement is fair and reasonable in
16 light of the facts of the case, the minor’s specific claim, and recovery in similar cases,” and
17 should “evaluate the fairness of each minor plaintiff’s net recovery without regard to the
18 proportion of the total settlement value designated for the adult co-plaintiffs or plaintiffs’
19 counsel - whose interests the district court has no special duty to safeguard.” *Robidoux*,
20 638 F.3d at 1181-82 (citing *Dacany*, 573 F.2d at 1078). “So long as the net recovery to
21 each minor plaintiff is fair and reasonable in light of their claims and average recovery in
22 similar cases, the district court should approve the settlement as proposed by the parties.”
23 *Id.* at 1182.

24 **III. ANALYSIS**

25 In light of the facts of the case, the claims brought, and recoveries by similarly
26 situated plaintiffs, the settlement sum to F.C. is fair, reasonable, and in the best interest of
27 the minor.

28 This action commenced on October 7, 2015, and the facts of the case have been

1 developed over the course of the litigation. The settlement was reached on the eve of
2 pretrial motions being filed, after the conclusion of all discovery.

3 The Court has viewed a surveillance camera recording of the encounter between the
4 decedent and U.S. Customs and Border Protection officers. The video was very clear and
5 there were almost no visual obstructions. The audio portion of the recording, although
6 cluttered with the sounds of the dramatic activity, was clear enough to hear the officers
7 ordering the decedent to calm down and to stop resisting (all after the decedent attacked
8 the agents without provocation and was subdued by a number of officers), along with the
9 decedent's profane responses as he continued to resist the officers efforts. The recording
10 unequivocally shows the decedent was the aggressor. The decedent's aggressive and near
11 superhuman strength required many officers to bring him under control, necessitating the
12 use of a Taser. To the Court, the officers' use of force was eminently reasonable under the
13 circumstances.

14 Whether the agents responded appropriately and employed proper positioning
15 techniques after the dramatic struggle began and the use of the Taser occurred is a close
16 call and forms the gravamen of the plaintiffs' case. Even if plaintiffs' were to prevail on
17 liability, the Court believes a more difficult challenge lied ahead for them in proving
18 damages. The evidence available to the Court showed the decedent was frequently
19 unemployed, was in and out of jail to the point that he had very little contact with the Minor
20 Plaintiff and provided very little in the form of fatherly support.

21 The settlement allows for certainty of recovery for Minor Plaintiff, as opposed to the
22 uncertainty associated with a jury verdict, given the significant challenges of proving
23 liability and establishing any meaningful financial support. Furthermore, although the
24 Petition does not identify any other cases involving circumstances similar to those alleged
25 in the matter at bar, the Court performed its own review of the case law and finds that
26 Minor Plaintiff's net recovery to be fair and reasonable under the circumstances. *See, e.g.,*
27 *Napier by and through Quiroz v. San Diego County*, 2017 WL 5759803 (S.D. Cal.
28 November 28, 2017) (finding settlement of minor's claims against San Diego County for

1 the death of his father for \$41,125 fair and reasonable; *Swayzer v. City of San Jose*, 2011
2 WL 3471217, at *1 (N.D. Cal. Aug. 5, 2011) (finding settlement of minor's section 1983
3 claims against the City for \$2,054.17 fair and reasonable); *Doe ex rel. Scott v. Gill*, 2012
4 WL 1939612, at *2 (N.D. Cal. May 29, 2012) (finding settlement of minor's section 1983
5 claims for the death of her mother for \$7,188 fair and reasonable); *Armstrong v. Dossey*,
6 2013 WL 4676541, at *5 (E.D. Cal. Aug. 30, 2013) (finding settlement of minors' § 1983
7 claims for their father's death for \$22,500 for minor with close relationship to father and
8 \$11,000 to minor with little to no relationship with father fair and reasonable); *Frary v.*
9 *Cnty. of Marin*, 2015 WL 575818 (N.D. Cal. Feb 10, 2015) (finding settlement of minor's
10 § 1983 and negligence claims for a net recovery of \$73,844.39 fair and reasonable);
11 *Lobaton v. City of San Diego*, 2017 WL 2610038, at *3 (finding settlement of minor's
12 emotional distress and negligent infliction of emotional distress claims for \$10,000.00 fair
13 and reasonable.).

14 The method of disbursement also appears to be fair and reasonable. The proceeds of
15 the settlement will be deposited into a structured settlement annuity. (Pet. at 9:8-17.) The
16 annuity will pay out to the Minor Plaintiff in four equal payments once the Minor Plaintiff
17 reaches the age of majority.

18 **IV. CONCLUSION**

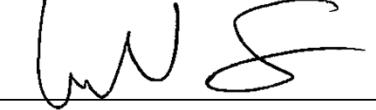
19 For the reasons stated above, the Court **GRANTS** the *ex parte* Petition. It is further
20 **ORDERED** that:

- 21 (1) Pursuant to Local Civil Rule 17.1(b)(1), any funds recovered by a minor must be
22 paid and distributed in accordance with California Probate Code §§ 3600 *et seq.*
- 23 (2) No bond is necessary for the establishment of the annuity;
- 24 (3) A copy of the executed annuity agreement shall be filed with the Court prior to
25 the distribution of the annuity; and
- 26 (4) The Court makes no specific finding or order with respect to whether the annuity
27 satisfies or complies with applicable federal laws or regulations.
- 28 (6) The Court will convene an attorneys-only telephonic status conference at **8:30**

1 **P.M. on March 21, 2018.** On or before **March 19, 2018**, each attorney intending to
2 participate in the status conference must lodge, via electronic mail to
3 efile_gallo@casd.uscourts.gov, a telephone number at which they may be reached
4 directly and without fail.

5 **IT IS SO ORDERED.**

6 Dated: January 23, 2018



7
8 Hon. William V. Gallo
9 United States Magistrate Judge
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28